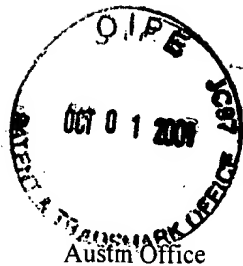


Receipt



**CONLEY, ROSE & TAYON**  
A Professional Corporation

Austin Office  
The Chase Building  
700 Lavaca, Suite 800  
Austin, Texas 78701-3102  
(512) 476-1400  
Facsimile (512) 703-1250

Dallas Office  
Granite Park One  
5800 Granite Parkway, Suite 400  
Plano, Texas 75024-6616  
(972) 731-2288  
Facsimile (972) 731-2289

Houston Office  
Chase Tower  
600 Travis, Suite 1800  
Houston, Texas 77002-2912  
(713) 238-8000  
Facsimile (713) 238-8008

INTELLECTUAL PROPERTY LAW  
INCLUDING PATENTS, TRADEMARKS,  
COPYRIGHTS AND UNFAIR COMPETITION

Writer's Direct Dial  
(972) 731-2299  
email: rcarroll@crtlaw.com

September 25, 2001

Commissioner for Patents  
Attn: Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

RECEIVED  
NOV 14 2001  
Group 2100

Re: Application No.: 09/919,436  
Filing Date: 07/31/2001  
Attorney Docket No.: IDF 1541 (4000-02100)

Sir:

Enclosed are the following documents for filing:

1. Request for Corrected Filing Receipt;
2. Copy of Filing Receipt;
3. Copy of Request and Certification Under 35 U.S.C. 122(b)(2)(B)(I) filed with original application;
4. Copy of acknowledgement postcard from original application;
5. Acknowledgement postcard; and
6. This transmittal letter.

Please date-stamp the acknowledgment postcard and return it to the undersigned to confirm receipt of the above documents.

Thank you for your assistance in this matter.

Best regards,

Rodney B. Carroll

Enclosures



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Shrikant Jannu, et al.

Serial No.: 09/919,436

Confirmation No. 4193

Filed: 07/31/2001

For: Middleware Brokering System

§  
§  
§  
§  
§  
§  
§  
§  
§  
§

GROUP ART UNIT: 2152

EXAMINER: Not Yet Known

RECEIVED

NOV 14 2001

Group 2100

Commissioner for Patents  
Attn: Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Attn: Office of Initial Patent Examination Customer Service Center, Washington, D.C. 20231 on

*September 25, 2001*  
(Date of Deposit)

*Trisa Ryan*

Att'y Docket No. IDF 1541 (4000-02100)

**REQUEST FOR CORRECTED FILING RECEIPT**

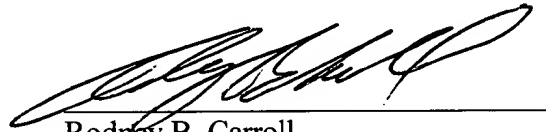
Commissioner:

Attached is a copy of the official Filing Receipt received from the PTO in the above application for which issuance of a corrected Filing Receipt is respectfully requested. The Filing Receipt is in error in that it indicates "No" for the Non-Publication Request and indicates a Projected Publication Date of February 6, 2003. Applicants submitted a Request and Certification Under 35 U.S.C. 122(b)(2)(B)(I) at the time of filing the application. A copy of the Request and

Certification Under 35 U.S.C. 122(b)(2)(B)(I) as filed with the original application along with a copy of the acknowledgement postcard is enclosed.

The correction requested is not due to any error by Applicants and no fee is due.

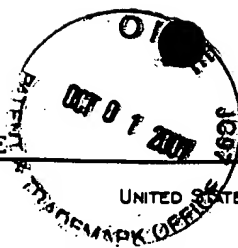
Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Rodney B. Carroll', written over a horizontal line.

Rodney B. Carroll  
Reg. No. 39,624  
Conley, Rose & Tayon, P.C.  
5800 Granite Parkway, Suite 400  
Plano, Texas 75204  
(972) 731-2288



## UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
--------------------	-------------	--------------	---------------	-----------------	----------	------------	------------

09/919,436 07/31/2001 2152 728 1541 (4000-02100) 4 21 1

RECEIVED

SEP 13 2001

SPRINT LAW DEPT.

CONFIRMATION NO. 4193

FILING RECEIPT



\*OC000000006537154\*

Steven J. Funk  
Sprint Law Department  
8140 Ward Parkway  
Kansas City, MO 64114

Date Mailed: 09/10/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

- ✓ Shrikant Jannu, Irving, TX;
- ✓ Dora Potluri, Irving, TX;
- ✓ Denis Tsai, Dallas, TX;
- ✓ Wing Lee, Irving, TX;

RECEIVED

SEP 14 2001

Group 2100

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 09/07/2001

Projected Publication Date: 02/06/2003

Non-Publication Request: ~~No~~ Yes

Early Publication Request: No

Title

Middleware brokering system

Preliminary Class

**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(I)**

First Named Inventor : **Shrikant Jannu, et al.**  
 Title: **Middleware Brokering System**  
 Attorney Docket Number **1541 (4000-02100)**  
 Express Mail Label No. **EL 921453828 US**

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

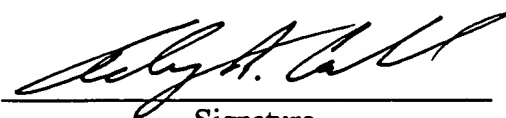
7-31-01

Date

**RECEIVED**

**NOV 14 2001**

**Group 2100**



Signature

Rodney B. Carroll

Registration Number 39,624

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**



For: Middleware Brokering System

Serial No.: Not Yet Assigned

Date Filed: Concurrently Herewith

Inventor: Shrikant Jannu, et al.

IDF 1541

4000-02100

Date 7/31/01

This will acknowledge receipt in the U.S. Patent and Trademark Office of Utility Patent Application Transmittal, Specification (26 pages), Abstract (1 page), Informal Drawings (4 sheets, Figs 1-4), Fee Transmittal, Declaration & Power of Attorney (3 pages), Assignment (3 pages), Recordation Cover Sheet (1 page), Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i) and this postcard receipt, mailed by U.S. Postal Service as first-class mail, Express Mail Mailing Label EL 921453828 US, postage prepaid on July 31, 2001.

DOCKETED  
8/30/01

JC979 U.S. PTO

09/919436



07/31/01

RECEIVED

NOV 14 2001

Technology Center 2100



## UNITED STATES PATENT AND TRADEMARK OFFICE

**FILE COPY**
 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov


Bib Data Sheet

CONFIRMATION NO. 4193

<b>SERIAL NUMBER</b> 09/919,436	<b>FILING DATE</b> 07/31/2001 <b>RULE</b>	<b>CLASS</b> 709	<b>GROUP ART UNIT</b> 2153	<b>ATTORNEY DOCKET NO.</b> 1541 (4000-02100)	
<b>APPLICANTS</b> Shrikant Jannu, Irving, TX; Dora Potluri, Irving, TX; Denis Tsai, Dallas, TX; Wing Lee, Irving, TX;					
<b>** CONTINUING DATA *****</b>					
<b>** FOREIGN APPLICATIONS *****</b>					
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 09/07/2001</b>					
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged _____ Examiner's Signature Initials		<b>STATE OR COUNTRY</b> TX	<b>SHEETS DRAWING</b> 4	<b>TOTAL CLAIMS</b> 21	<b>INDEPENDENT CLAIMS</b> 1
<b>ADDRESS</b> Steven J. Funk Sprint Law Department 8140 Ward Parkway Kansas City ,MO 64114					
<b>TITLE</b> Middleware brokering system					
<b>FILING FEE RECEIVED</b> 728	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		